

## IN VITRO CO-CULTURE AND EX VIVO ORGAN CULTURE ASSESSMENT OF PRIMED AND CRYOPRESERVED STROMAL CELL MICROCAPSULES FOR INTERVERTEBRAL DISC REGENERATION

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### Abstract

Priming towards a discogenic phenotype and subsequent cryopreservation of microencapsulated bone marrow stromal cells (BMSCs) may offer an attractive therapeutic approach for disc repair. It potentially obviates the need for *in vivo* administration of exogenous growth factors, otherwise required to promote matrix synthesis, in addition to providing 'off-the-shelf' availability. Cryopreserved and primed BMSC microcapsules were evaluated in an *in vitro* surrogate co-culture model system with nucleus pulposus (NP) cells under intervertebral disc (IVD)-like culture conditions and in an *ex vivo* bovine organ culture disc model.

BMSCs were microencapsulated in alginate microcapsules and primed for 14 d with transforming growth factor beta-3 (TGF- $\beta$ 3) under low oxygen conditions prior to cryopreservation. For the *in vitro* phase, BMSC microcapsules (unprimed or primed) were cultured for 28 d in a surrogate co-culture model system mimicking that of the IVD. For the *ex vivo* phase, microcapsules (unprimed or primed) were injected into the NP of bovine discs that underwent nucleotomy.

*In vitro* results revealed that although NP cells produced significantly more matrix components in co-culture with BMSC microcapsules regardless of the differentiation state, unprimed microcapsules were inadequate at synthesising matrix as compared to primed microcapsules. However, this difference was diminished when evaluated in the *ex vivo* organ culture model, with both unprimed and primed BMSC microcapsules accumulating large amounts of sulphated glycosaminoglycan (sGAG) and collagen and filling the defect cavity. Both models demonstrated that cryopreservation of BMSC microcapsules may offer a feasible strategy for predesigned delivery through cryobanking for on-demand regeneration of the IVD.

**Keywords:** Degeneration, intervertebral disc, nucleus pulposus, mesenchymal stromal cells, bone marrow, *in vitro*, *ex vivo*, model system, priming, cryopreservation.

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### Introduction

Cellular microencapsulation is a promising approach to repair the degenerated central nucleus pulposus (NP) region of the intervertebral disc (IVD) in a minimally invasive manner (Wang *et al.*, 2013). A method to microencapsulate cells is by using electrohydrodynamic atomisation (EHDA) (Bartolovic *et al.*, 2010; Jayasinghe, 2007;

Jayasinghe, 2011; Jayasinghe *et al.*, 2006; Naqvi *et al.*, 2016; Workman *et al.*, 2014). By passing a polymer-containing cell solution through a needle subjected to a potential difference, this technique allows the fabrication of micron-sized capsules upon subsequent crosslinking (Gasparini *et al.*, 2013). These cell-encapsulated microcapsules may be injected into the central NP. With respect to the cell type, mesenchymal stromal cells (MSCs) are

proposed as a potential cell source for promoting NP repair (Risbud *et al.*, 2004; Sakai *et al.*, 2003; Steck *et al.*, 2005; Stoyanov *et al.*, 2011). However, in their undifferentiated state, MSCs require the concurrent delivery of growth factors in order to differentiate towards a discogenic phenotype. Naqvi *et al.* (2018) show that primed bone marrow stromal cell (BMSC) microcapsules [BMSC microcapsules cultured for 14 d in transforming growth factor beta-3 (TGF- $\beta$ 3)-supplemented medium to direct differentiation] maintain their potential to synthesise NP-like matrix components *in vitro* post-cryopreservation in the absence of growth factor supplementation. The same study has verified cell survival after cryopreservation and injection through a 25G needle, providing the motivation for further development of microcapsules for the treatment of disc degeneration. Thus, this approach may offer the possibility of predesigned deliverability through cryobanking but requires testing in appropriate model systems prior to clinical translation.

In this respect, *in vitro* co-culture models are convenient as they offer a means for research on cell-to-cell communications, repair and regeneration. In co-culture systems, two or more cell types are cultured together, to recapitulate the typical *in vivo* cellular interactions. Signalling between NP cells and MSCs occurs, leading to matrix synthesis and enhanced NP proliferation (Le Visage *et al.*, 2006; Richardson *et al.*, 2006; Vadala *et al.*, 2008; Yamamoto *et al.*, 2004). However, current co-culture model investigations have been unable to identify the cell type responsible for matrix synthesis within the system. A previous study suggests that in indirect co-culture, MSCs act as supporting cells promoting proliferation and matrix production (Naqvi and Buckley, 2015a). Nonetheless, there are uncertainties as of the exact mechanism by which MSCs stimulate repair (Gaetani *et al.*, 2008; Le Visage *et al.*, 2006; Richardson *et al.*, 2006; Strassburg *et al.*, 2010; Vadala *et al.*, 2008; Yamamoto *et al.*, 2004). Specifically, it is unknown whether repair is a result of differentiation of the injected MSCs towards a discogenic phenotype and/or some form of a stimulatory effect on the resident degenerated NP cells. To address these issues, a structured idealised co-culture model system, where both cell types may be analysed separately after the co-culture, may be advantageous to investigate each cell type in isolation.

*Ex vivo* organ culture systems have gained significant attention to assess and validate potential therapeutic strategies, with bovine caudal discs providing a suitable and acceptable model for many studies of the IVD (Bucher *et al.*, 2013; Chan *et al.*, 2010; Chan *et al.*, 2013; Furtwangler *et al.*, 2013; Oshima *et al.*, 1993). Previous studies use these models for investigations as they have similar physico-chemical properties, composition (types and distributions of aggrecan and collagen), metabolism, function, regulation and biomechanics (*in vitro* proteoglycan synthesis rates and matrix synthesis responses to

hydrostatic pressure) to human discs (Aguilar *et al.*, 1999; Errington *et al.*, 1998; Gahunia *et al.*, 2002; Horner *et al.*, 2002; Horner and Urban, 2001; Ishihara *et al.*, 1996; Ishihara *et al.*, 1997; Nerlich *et al.*, 1997; Oegema *et al.*, 2000; Ohshima and Urban, 1992; Ohshima *et al.*, 1995; Oloyede *et al.*, 1998; Oshima *et al.*, 1993; Race *et al.*, 2000; Razaq *et al.*, 2000; Roberts *et al.*, 1995; Roberts *et al.*, 1991; Simunic *et al.*, 2001). Moreover, the structure of the bovine disc is similar to the young healthy human IVD and the swelling pressure of bovine coccygeal IVDs has the same magnitude as that of human discs in humans resting in a prone position (Ishihara *et al.*, 1996; Oshima *et al.*, 1993).

The overall objective of the present study was to assess the potential of primed and cryopreserved BMSC-encapsulated microcapsules in two different model systems: *in vitro* co-culture and *ex vivo* organ culture. The specific aims were to identify which cell type was responsible for matrix synthesis in an *in vitro* co-culture model and whether an *ex vivo* model better informed the investigator of the potential of such a therapy. The potential of using cryopreserved primed BMSC microcapsules was evaluated in an *in vitro* co-culture model system with NP cells. The NP is a glucose- and oxygen-deprived tissue, since the closest blood vessel can be up to 8 mm away (Katz *et al.*, 1986; Urban *et al.*, 1982). In particular, a major biochemical change that occurs during IVD degeneration is the accumulation of lactic acid (Katz *et al.*, 1986; Urban *et al.*, 1982; Urban *et al.*, 2004) and the concomitant increase in matrix acidity (Bartels *et al.*, 1998; Buckwalter, 1995; Diamant *et al.*, 1968; Keshari *et al.*, 2008; Rajasekaran *et al.*, 2010). As such, *in vitro* cultures were maintained under both low glucose (5 mM) and low oxygen (5 %) concentrations and mildly degenerated IVD-like pH conditions (pH 6.8). Importantly, a structured idealised co-culture model system was developed where both cell types could be analysed separately after the co-culture period. Also, the potential of using cryopreserved primed BMSC microcapsules was evaluated in an *ex vivo* model system using bovine discs.

## Materials and Methods

### NP cell isolation and culture

IVDs from the lumbar region were harvested from the spine of porcine donors (3-5 months, 30-50 kg) within 3 h of sacrifice. Under aseptic conditions, IVDs were carefully exposed and the gelatinous NP removed from the central section of the disc (Naqvi and Buckley, 2015a). To confirm the absence of bacterial growth, dissected tissue was cultured overnight at 37 °C, 5 % CO<sub>2</sub> in a humidified atmosphere in serum-free low-glucose Dulbecco's modified Eagle's medium (LG-DMEM, 1 g/L D-glucose) supplemented with antibiotics/antimycotics (100 U/mL penicillin, 100 µg/mL streptomycin). The NP was enzymatically digested in a 2.5 mg/mL pronase solution for 1 h

followed by 4 h in 0.5 mg/mL collagenase solution at 37 °C under constant rotation in serum-free LG-DMEM containing antibiotic/antimycotics (100 U/mL penicillin, 100 µg/mL streptomycin). Digested tissue/cell suspension was passed through a 100 µm cell strainer to remove tissue debris followed by 70 µm and 40 µm cell strainers to separate notochordal cells (NC) from the desired NP cells, as previously described (Spillekom *et al.*, 2014). Cells were washed three times by repeated centrifugation at 650 ×g for 5 min. NP cells were cultured to confluence at 37 °C, 5 % CO<sub>2</sub> in a humidified atmosphere in T-75 flasks with LG-DMEM supplemented with 10 % foetal bovine serum (FBS), 100 U/mL penicillin, 100 µg/mL streptomycin (all GIBCO), 2.5 µg/mL amphotericin B (Sigma-Aldrich), 5 ng/mL fibroblast growth factor-2 (FGF-2; PeproTech) and expanded to passage 2 (P2) with medium exchanges performed every 3-4 d.

### BMSC isolation and monolayer expansion

Donor-matched porcine BMSCs were isolated and maintained as previously described (Naqvi and Buckley, 2015a). Briefly, mononuclear cells were isolated from the femora of porcine donors (3-5 months, 30-50 kg) within 2 h of sacrifice and plated at a density of  $10 \times 10^6$  cells in T-75 flasks to allow for colony formation. MSCs were maintained in LG-DMEM supplemented with 10 % FBS, 100 U/mL penicillin, 100 µg/mL streptomycin and 0.25 µg/mL amphotericin B. Cultures were washed with phosphate-buffered saline (PBS) after 72 h. When passaged, BMSCs were plated at a density of  $5 \times 10^3$  cells/cm<sup>2</sup> and expanded to P2 in a humidified atmosphere at 37 °C and 5 % CO<sub>2</sub>.

### Fabrication of BMSC microcapsules using EHDA

BMSCs were trypsinised and counted using trypan blue exclusion and, subsequently, resuspended in medium consisting of LG-DMEM supplemented with 10 % FBS, 100 U/mL penicillin, 100 µg/mL streptomycin and 0.25 µg/mL amphotericin B. The cell suspension was mixed with 2 % alginate (Pronova UP LVG; FMC NovaMatrix, Sandvika, Norway) dissolved in PBS at a ratio of 1 : 1, to yield a final alginate concentration of 1 % and a cell density of  $20 \times 10^6$  cells/mL. A Spraybase® electrosprayer (Profector Life Sciences, Dublin, Ireland) was used to produce the microcapsules. Briefly, the cell/alginate mixture was passed through a 26G needle into a collecting dish containing 102 mM CaCl<sub>2</sub> solution using a syringe pump (pump speed: 100 µL/min) while applying a voltage of 10 kV to yield microcapsules with a diameter (Ø) of  $240 \pm 22.04$  µm. Microcapsules were allowed to fully crosslink for 15 min and washed with PBS prior to resuspension in the appropriate medium for culture.

### *In vitro* priming and cryopreservation of the microcapsules

Post-fabrication, 150 µL of BMSC microcapsules ( $3 \times 10^6$  cells) were transferred into each well of

a 6-well plate and maintained in 10 mL of LG-DMEM supplemented with 40 µg/mL L-proline, 100 nM dexamethasone, 50 µg/mL L-ascorbic acid 2-phosphate, 4.7 µg/mL linoleic acid (all Sigma-Aldrich), 100 U/mL penicillin, 100 µg/mL streptomycin, 0.25 µg/mL amphotericin B and 10 ng/mL TGF-β3 (PeproTech). Microcapsules were cultured in low oxygen (5 % O<sub>2</sub>) conditions for 14 d and medium was exchanged twice weekly. Of note, medium exchanges were not performed under low oxygen. However, primed groups were exposed to atmospheric oxygen only minimally by performing medium changes as quickly as possible and one plate at a time. Unprimed microcapsules served as control.

Post-fabrication or post-priming, 150 µL of BMSC microcapsules were resuspended in 1 mL of freezing medium consisting of 90 % FBS and 10 % DMSO (final cell density:  $3 \times 10^6$  cells/mL) and transferred to cryovials (CryoPure, 2.0 mL, Sarstedt), as previously described (Naqvi *et al.*, 2018). Vials were placed in a cryogenic freezing container (5100-0001 PC/HDPE, Mr. Frosty™; Nalgene), stored at -85 °C overnight and, subsequently, stored in liquid nitrogen. When required, vials were thawed in a water bath (37 °C) for 2 min and, then, 10 mL of LG-DMEM supplemented with 10 % FBS, 100 U/mL penicillin, 100 µg/mL streptomycin and 0.25 µg/mL amphotericin B were added drop by drop over 2 min.

### *In vitro* co-culture model system

Upon thawing, 60 µL of cryopreserved primed microcapsules were cultured in a structured co-culture model system (Fig. 1a). Microcapsules were encompassed by a ring [inner Ø = 5 mm, outer Ø = 9mm, height (H) = 3 mm] of NP cells encapsulated in alginate. Briefly, NP cells were encapsulated in 1.5 % alginate in isolation at a cell density of  $4 \times 10^6$  cells/mL. Alginate/cell suspensions were ionically crosslinked with 102 mM CaCl<sub>2</sub> for 30 min to form cylindrical constructs (outer Ø = 9mm, H = 3mm). A 5 mm biopsy punch was used to create a well of 5 mm in diameter in the centre of the construct, resulting in a ring (inner Ø = 5 mm, outer Ø = 9 mm, H = 3 mm) which was press-fit into an agarose mould. Constructs were maintained in a flat-bottomed tube (8 mL, 57 × 16.5 mm, Sarstedt) for the entire culture period. Cultures were maintained at 37 °C for 28 d under low glucose (5 mM) and low oxygen (5 %) conditions without exogenous growth factor supplementation. In addition, two pH microenvironments were explored: standard (pH 7.4) and simulated IVD-like (pH 6.8) conditions (Wuertz *et al.*, 2008). To adjust the pH value to 6.8, 10 µL/mL of 2 M HCl was added to the medium and allowed to equilibrate in a humidified hypoxic incubator overnight. Standard medium was also maintained under similar conditions overnight (Naqvi and Buckley, 2016). Medium consisted of LG-DMEM supplemented with 100 U/mL penicillin, 100 µg/mL streptomycin, 0.25 µg/mL amphotericin B, 1.5 mg/mL bovine serum albumin

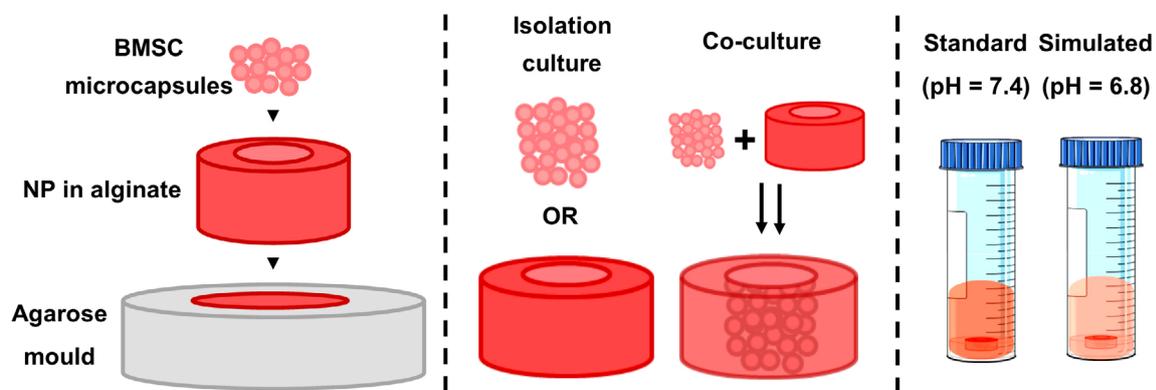
(BSA, Sigma-Aldrich), 1× insulin-transferrin-sodium selenite (ITS; Sigma-Aldrich), 40 µg/mL L-proline, 100 nM dexamethasone, 50 µg/mL L-ascorbic acid 2-phosphate and 4.7 µg/mL linoleic acid. For comparison, cryopreserved unprimed microcapsules were cultured under identical conditions. Each tube was maintained in 2 mL of medium with half medium exchanges performed every 3-4 d.

#### *Ex vivo* culture of microcapsules

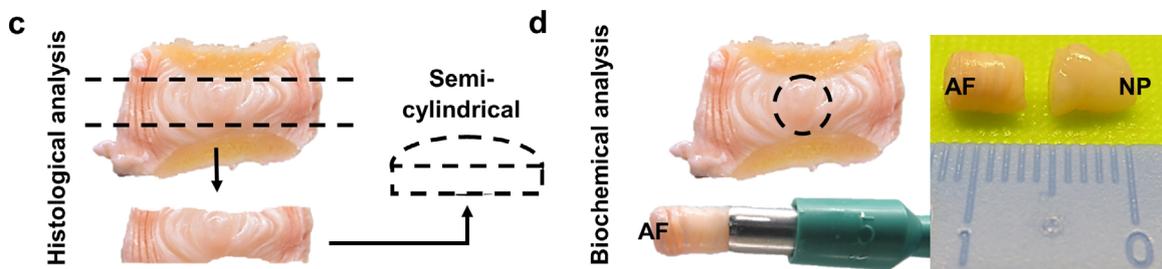
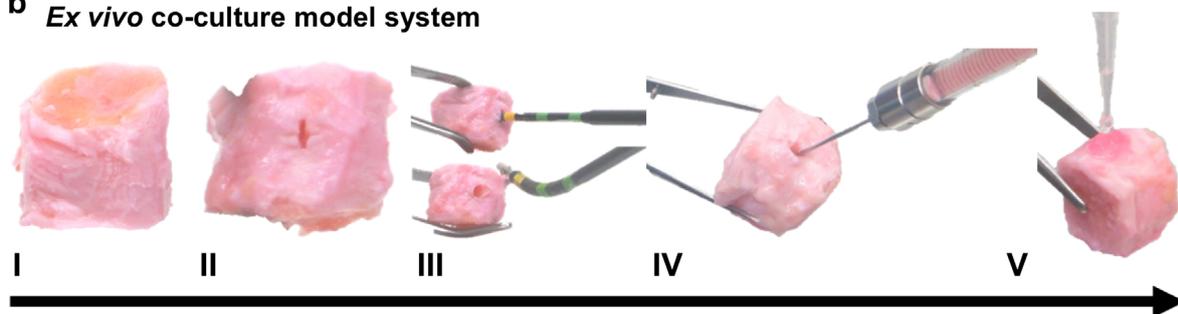
Skeletally mature bovine tails (< 30 months) were obtained from a local abattoir and cut proximally and distally to the endplates (EP) with a custom-made guillotine. Blood clots and bone debris were removed by flushing the endplates with PBS using a custom-made irrigation system. Then, caudal discs were rinsed in PBS containing 100 U/mL penicillin, 100 µg/

mL streptomycin and 0.25 µg/mL amphotericin B and assigned to the following groups: day 0 control, empty nucleotomy control, healthy control, nucleotomy with primed BMSC microcapsules (treatment) and nucleotomy with unprimed BMSC microcapsules (comparison treatment). Nucleotomies were created by performing a cruciate cut with a #15 scalpel into the centre of the disc (Fig. 1b, II). Tissue was loosened and removed using the enSpire™ MicroDissectomy System (SpineView, Inc., Fremont, CA, USA) (Fig. 1b, III). After partial nucleotomy, 500 µL of unprimed or primed microcapsules in LG-DMEM supplemented with 10 % FBS, 100 U/mL penicillin, 100 µg/mL streptomycin and 0.25 µg/mL amphotericin B were injected into the created defects with a high-precision syringe and needle (Hamilton gastight syringe, model 1750; 20G metal hub custom

#### a *In vitro* co-culture model system



#### b *Ex vivo* co-culture model system



**Fig. 1. Experimental Design.** (a) Schematic illustrating *in vitro* co-culture model system showing isolation culture and co-culture configurations and pH conditions. (b) *Ex vivo* organ model system – bovine disc preparation; I: IVDs were harvested from skeletally mature bovine tails, II: nucleotomies were created by performing a cruciate cut with a #15 scalpel to the centre of the IVD, III: tissue was removed using the enSpire™ MicroDissectomy System, IV: 500 µL of unprimed or primed microcapsules were injected into the injury site of treated IVDs using a high-precision syringe, V: the AF was closed using a fibrin glue. (c) Illustration showing semi-cylindrical sample used for histology. (d) Illustration showing Ø = 5 mm × H = 5 mm NP samples used for biochemical analyses.

needle) (Fig. 1b, IV). The annulus fibrosus (AF) was subsequently sealed using a fibrin glue (50 mg/mL final fibrin, 5 U/mL thrombin, both Sigma-Aldrich) (Fig. 1b, V). Discs were cultured for 28 d in 100 mL of high-glucose DMEM (HG-DMEM) supplemented with 10 % FBS, 100 U/mL penicillin, 100 µg/mL streptomycin, 0.25 µg/mL amphotericin B, 1.5 mg/mL BSA and 40 µg/mL L-proline under gentle agitation (orbital platform shaker, PSU-10i, Biosan, Riga, Latvia) at 37 °C in normoxic (20 % oxygen) conditions, with medium changed twice weekly.

### Processing of bovine discs for assessment

After culture, the endplates were removed and the discs were cut in half in the sagittal plane. For histological analysis, a custom-made guillotine was used to remove the vertebral body, including the EP, either side of the disc (Fig. 1c). The remaining semi-cylindrical shaped tissue was dehydrated and paraffin-wax-embedded. For biochemical analysis, a 5 mm biopsy punch was used to core the central NP through the AF (Fig. 1d). The NP and AF regions were separated using a scalpel. The NP (Ø = 5 mm, H = 5 mm) was frozen for subsequent biochemical analysis. Only a limited amount of NP tissue was available in the empty nucleotomy control after 28 d of culture and, therefore, it was excluded from further biochemical analyses.

### Cell viability

Cell viability was assessed using a LIVE/DEAD® Viability/Cytotoxicity Kit (Invitrogen). Briefly, samples were washed in phenol-red-free medium followed by incubation in the medium containing 4 µm calcein AM (live cell membrane) and 4 µm ethidium homodimer-1 (dead cell DNA; both from Cambridge Bioscience, Cambridge, UK). Samples were washed in phenol-red-free medium, imaged with an Olympus FV-1000 confocal microscope using 515 and 615 nm channels and analysed using the FV10-ASW 3.1 software.

### Cell morphology

Healthy, unprimed and primed microencapsulated-BMSC-treated bovine discs were stained for cell morphology after 28 d of whole organ culture. Briefly, slides were permeabilised using 0.5 % Triton X-100 and stained with rhodamine phalloidin at 200 U/mL (diluted 1 : 40; Sigma-Aldrich) to stain the actin cytoskeleton. 4',6'-diamidino-2-phenylindole (DAPI, diluted 1 : 500; Sigma-Aldrich) was used to stain the nucleus and rinsed with PBS. Subsequently, stained slides were imaged with a Leica SP8 scanning confocal at 358/524 and 540/565 nm wave-length (40× magnification) and assessed using the Leica Application Suit X (LAS X) software.

### Quantitative biochemical analysis

Samples were digested with 3.88 U/mL papain in 0.1 M sodium acetate, 5 mM L-cysteine-HCl and 0.05 M ethylenediaminetetraacetic acid (EDTA) (pH 6.0) (all

from Sigma-Aldrich) at 60 °C under constant rotation for 18 h followed by the addition of 1 M sodium citrate solution for 1 h to dissociate crosslinked alginate. DNA content was determined using the Hoechst 33258 dye-based assay (DNA QF Kit; Sigma-Aldrich). Proteoglycan content was quantified using the dimethylmethylene blue dye-binding assay at pH 1.35 (Blyscan, Biocolor Ltd., Carrickfergus, Northern Ireland), with a chondroitin sulphate standard. Total collagen content was determined by measuring the hydroxyproline content. Samples were hydrolysed at 110 °C for 18 h in 12 M HCl and assayed using a chloramine-T assay (Kafienah and Sims, 2004) with a hydroxyproline : collagen ratio of 1 : 7.69 (Ignat'eva *et al.*, 2007). Baseline primed values were subtracted from day 28 values for the primed groups.

### Histology and immunohistochemistry

At each time point, *in vitro* samples were fixed overnight in 4 % paraformaldehyde, dehydrated in a graded series of ethanol, embedded in paraffin wax, sectioned at 8 µm thickness and mounted on to microscope slides. *Ex vivo* samples were fixed in formalin for 72 h at room temperature and under constant agitation with formalin exchanges every 24 h before dehydration in a graded series of ethanol, embedded in paraffin wax, sectioned at 10 µm thickness and mounted on to microscope slides. Both *in vitro* and *ex vivo* sections were stained with aldehyde fuchsin/alcian blue, to assess sulphated glycosaminoglycan (sGAG) content, and picosirius red, to assess collagen distribution (all Sigma-Aldrich). Collagen types I and II were evaluated using a standard immunohistochemical technique, as described previously (Naqvi and Buckley, 2015a; Naqvi and Buckley, 2015b).

### Statistical analysis

Statistical analyses were performed using GraphPad Prism (version 5) software with 3-4 samples analysed for each experimental group. The co-culture experiment was replicated with cells from a second donor, which confirmed the findings of the present study. Two-way ANOVA was used for analysis of variance with Bonferroni's *post-hoc* test for comparison between groups. Graphical results are displayed as mean ± standard deviation (SD). Significance was accepted at a level of  $p < 0.05$ .

## Results

### Co-culture inhibited cell proliferation as compared to isolation culture

BMSC microcapsules exhibited significantly less DNA content as compared to day 0, when DNA content was  $9821 \pm 33.35$  ng and  $6218.66 \pm 261.9$  ng in unprimed and primed BMSC microcapsules, respectively (data not shown). This reduction in DNA content from day 0 is attributed to cell death due to

**Table 1. Total DNA content (normalised to day 0) of *in vitro* cultures at day 28.** Unit = ng/ng;  $n = 3$ ; mean  $\pm$  SD represented.

	Isolation Culture			Co-culture			
	NP	Unprimed	Primed	NP	Unprimed	NP	Primed
<b>Standard (pH 7.4)</b>	0.62 $\pm$ 0.01	0.2 $\pm$ 0.02	0.67 $\pm$ 0.02	0.31 $\pm$ 0.04	0.14 $\pm$ 0.04	0.33 $\pm$ 0.07	0.46 $\pm$ 0.4
<b>Simulated (pH 6.8)</b>	0.58 $\pm$ 0.1	0.09 $\pm$ 0.04	0.38 $\pm$ 0.04	0.36 $\pm$ 0.02	0.13 $\pm$ 0.004	0.4 $\pm$ 0.12	0.16 $\pm$ 0.01

the highly metabolic nature of BMSCs, demanding larger amounts of glucose, and/or the EHDA spraying method, which may result in local stress concentrations causing cell damage (Naqvi *et al.*, 2018). Furthermore, both microcapsule types yielded significantly less DNA in co-culture as compared to those cultured in isolation, irrespective of standard (pH 7.4) or simulated conditions (pH 6.8) (Fig. 2a and Table 1). Similar results were obtained for NP cells at the two different pH values (Table 1). Live/dead staining correlated with biochemical data illustrating fewer cells in co-culture groups (Fig. 2b).

Primed BMSC microcapsules cultured in isolation exhibited significantly larger DNA content ( $p < 0.01$ ) when cultured in standard conditions as compared to simulated conditions. This was also observed for the same microcapsules co-cultured with NP cells (Fig. 2a). Live/dead staining also correlated with DNA data illustrating fewer cells in simulated conditions (Fig. 2b). For unprimed BMSC microcapsules cultured in isolation, there was also a significant difference between those cultured in standard and simulated conditions. Those in standard conditions contained more DNA (Fig. 2a). Live/dead staining illustrated similar findings with more dead cells observed in simulated conditions (Fig. 2b). Importantly, primed BMSC microcapsules contained significantly more DNA than unprimed BMSC microcapsules, with the exception of primed BMSC microcapsules co-cultured with NP cells in simulated conditions.

### Co-culture model – sGAG accumulation

#### NP cells

When compared to NP cells in isolation culture [22.8  $\pm$  1.2  $\mu$ g (standard conditions) and 21  $\pm$  2.1  $\mu$ g (simulated conditions)], in co-culture with BMSC microcapsules, NP cells accumulated significantly larger amounts of sGAG with unprimed microcapsules under standard conditions (32.2  $\pm$  4.3  $\mu$ g) and with primed microcapsules under both standard and simulated conditions (42  $\pm$  2  $\mu$ g and 40.3  $\pm$  3.2  $\mu$ g, respectively) (Fig. 3a). This was also observed on a per cell basis when sGAG was normalised to normalised DNA content (36.7  $\pm$  1.8  $\mu$ g, 36.9  $\pm$  4.2  $\mu$ g in isolation; 105.2  $\pm$  8.6  $\mu$ g, 60.3  $\pm$  6.3  $\mu$ g in unprimed co-culture; 129.7  $\pm$  21.6  $\mu$ g, 105.1  $\pm$  26.4  $\mu$ g in primed co-culture under standard and simulated conditions, respectively) (Fig. 3b). In fact, on a per cell basis,

NP cells accumulated significantly more sGAG in co-culture with unprimed microcapsules, for both standard and simulated conditions ( $p < 0.01$ ). Histological staining for sGAG correlated with the biochemical data (Fig. 3c). The most noticeable sGAG deposition was observed in co-culture with primed BMSC microcapsules [Fig. 3c (f), (m)].

#### BMSC microcapsules

Unprimed BMSC microcapsules accumulated negligible amounts of sGAG in isolation and in co-culture with NP cells (Fig. 3a). Conversely, as compared to primed BMSC microcapsules cultured in isolation, those in co-culture with NP cells accumulated significantly less sGAG ( $p < 0.01$ ), irrespective of standard or simulated conditions (121  $\pm$  0.4  $\mu$ g, 95  $\pm$  1.6  $\mu$ g in isolation and 77.5  $\pm$  5.4  $\mu$ g, 24.4  $\pm$  2.5  $\mu$ g in co-culture) (Fig. 3a). This was also observed when sGAG was normalised to DNA content (182.4  $\pm$  5.3  $\mu$ g, 255.4  $\pm$  33.9  $\mu$ g in isolation; 169.3  $\pm$  4.4  $\mu$ g, 149  $\pm$  9.6  $\mu$ g in co-culture under standard and simulated conditions, respectively) (Fig. 3b).

When cultured in isolation and in co-culture, primed BMSC microcapsules accumulated significantly less sGAG in simulated conditions as compared to standard conditions. However, when normalised to DNA content, these differences were diminished and, in the case of primed BMSC microcapsules cultured in isolation, there was significantly more sGAG for primed BMSC microcapsules maintained in simulated culture conditions as compared to standard conditions (Fig. 3b). Histological staining for sGAG correlated with the biochemical findings (Fig. 3c). There was an obvious difference between unprimed and primed groups with little to no sGAG deposition in unprimed BMSC microcapsules [Fig. 3c – (b), (e), (i), (l)] and increased deposition in primed BMSC microcapsules [Fig. 3c – (c), (g), (j), (n)] cultured in isolation and in co-culture with NP.

### Co-culture model – collagen accumulation

#### NP cells

When compared to NP cells cultured in isolation [7.4  $\pm$  1.4  $\mu$ g/ $\mu$ g (standard conditions) and 8.1  $\pm$  1.8  $\mu$ g/ $\mu$ g (simulated conditions)], NP cells co-cultured with BMSC microcapsules accumulated more

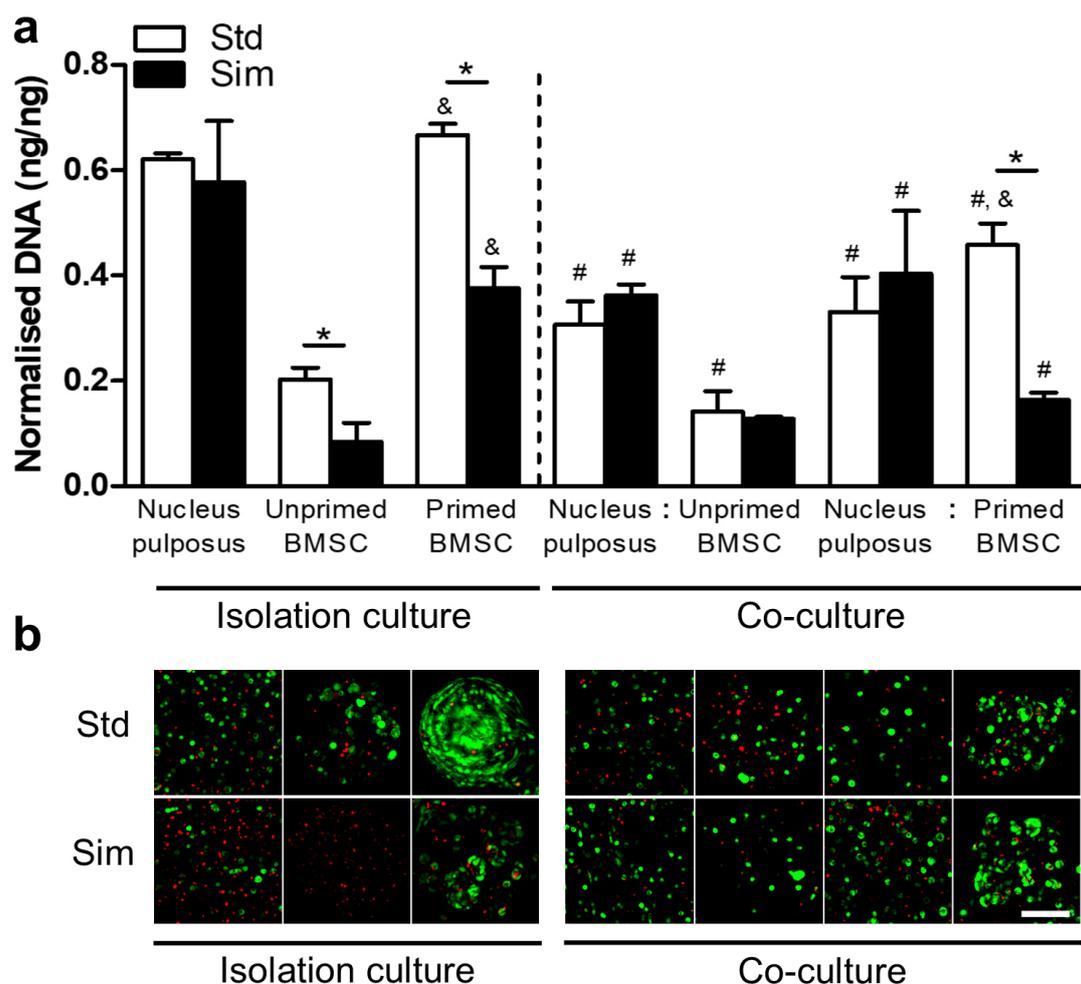
collagen under standard and simulated conditions (Fig. 4a,b) regardless of BMSC differentiation state ( $38.1 \pm 13.3 \mu\text{g}/\mu\text{g}$ ,  $37.2 \pm 6.5 \mu\text{g}/\mu\text{g}$  in unprimed and  $42.5 \pm 11 \mu\text{g}/\mu\text{g}$ ,  $35 \pm 10.9 \mu\text{g}/\mu\text{g}$  in primed). This difference was not significant on a  $\mu\text{g}$  basis but it was significant when collagen was normalised to DNA ( $p < 0.01$ ) (Fig. 4b). Histological staining for collagen correlated with the biochemical findings (Fig. 4c). The most noticeable collagen deposition was observed for those co-cultured with primed BMSC microcapsules [Fig. 4c – (f), (m)].

#### BMSC microcapsules

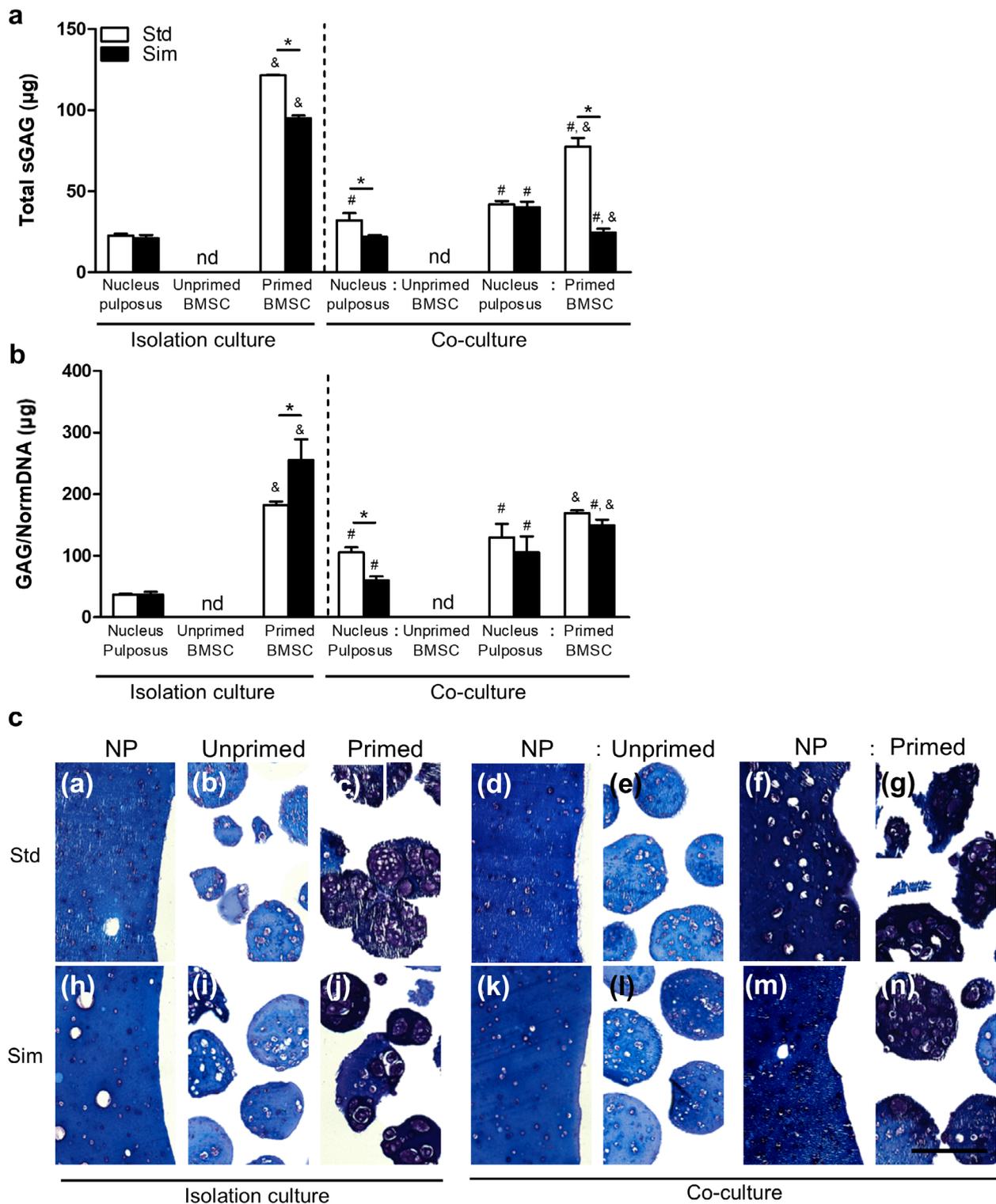
When compared to primed BMSC microcapsules cultured in isolation, those in co-culture with NP cells accumulated significantly less collagen ( $p < 0.01$ ), irrespective of standard or simulated conditions ( $73.4 \pm 0.9 \mu\text{g}$ ,  $69.6 \pm 3.4 \mu\text{g}$  in isolation *vs.*  $23.3 \pm 4.2 \mu\text{g}$ ,  $13.76 \pm 1.6 \mu\text{g}$  in co-culture, respectively) (Fig. 4a). When normalised to DNA content, significantly larger amounts ( $p < 0.01$ ) (collagen/normDNA) were observed for primed groups in isolation maintained

in simulated culture ( $186.7 \pm 21.5 \mu\text{g}/\mu\text{g}$ ) as compared to standard conditions ( $110.2 \pm 2.9 \mu\text{g}/\mu\text{g}$ ) (Fig. 4b). Histological staining for collagen correlated with the biochemical data (Fig. 4c). There was an obvious difference between unprimed and primed groups with limited or no collagen deposition in unprimed BMSC microcapsules [Fig. 4c – (b), (e), (i), (l)] and increased deposition in primed BMSC microcapsules [Fig. 4c – (c), (g), (j), (n)] cultured in isolation and in co-culture with NP.

Immunohistochemistry data revealed that when compared to NP cells co-cultured with unprimed BMSC microcapsules, NP cells cultured in isolation or co-cultured with primed BMSC microcapsules accumulated more collagen II under standard conditions (Fig. 5). Interestingly, NP cells did not deposit similar levels of collagen II under simulated conditions. Furthermore, the images illustrated an obvious difference between unprimed and primed groups with little to no collagen II deposition in unprimed BMSC microcapsules and increased deposition in primed BMSC microcapsules cultured

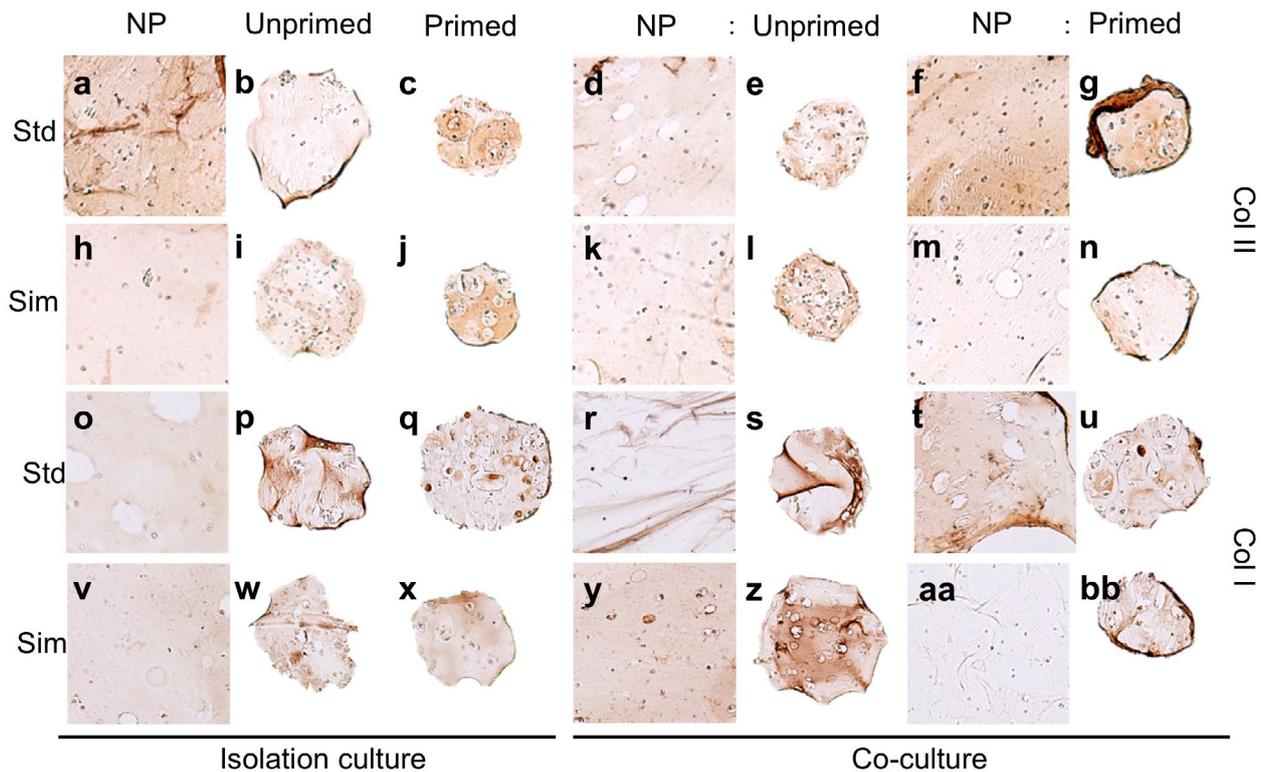


**Fig. 2. DNA content and cell viability of *in vitro* cultures.** (a) Total DNA (ng) content (normalised to day 0) at day 28 for NP, unprimed and primed microencapsulated BMSCs in isolation culture and in co-culture under standard (Std) and simulated (Sim) pH media conditions; # significance as compared to isolation culture for same experimental group and media condition, & significance as compared to unprimed microcapsules for same media condition, \* significance as compared to simulated media conditions for same experimental group;  $p < 0.05$ ;  $n = 3$ ; mean  $\pm$  SD represented. (b) Cell viability at day 28; scale bar: 200  $\mu\text{m}$ .



**Fig. 3. sGAG content and deposition of *in vitro* cultures.** (a) Total sGAG ( $\mu\text{g}$ ) content normalised to DNA ( $\mu\text{g}$ ) at day 28 for NP, unprimed and primed microencapsulated BMSCs in isolation culture and in co-culture under standard (Std) and simulated (Sim) media conditions. (b) sGAG normalised to DNA ( $\mu\text{g}/\mu\text{g}$ ); nd indicates 'not-detectable', *i.e.* below threshold for measurement, # significance as compared to isolation culture for same experimental group and media condition, & significance as compared to unprimed microcapsules for same media condition, \* significance as compared to simulated media conditions for same experimental group;  $p < 0.05$ ;  $n = 3$ ; mean  $\pm$  SD represented. For primed groups, baseline primed (14 d post-priming) were subtracted from day 28 values. (c) Histological evaluation: sections [(a)-(n)] were stained with aldehyde fuchsin and alcian blue to identify sGAG; deep purple staining indicates GAG accumulation and light blue staining indicates residual alginate; scale bar: 200  $\mu\text{m}$ .





**Fig. 5. Collagen type deposition of *in vitro* cultures.** Immunohistochemistry for (a-n) collagen type II and (o-bb) collagen type I at day 28 for NP, unprimed and primed microencapsulated BMSCs in isolation culture and in co-culture under standard (Std) and simulated (Sim) media conditions; scale bar: 100  $\mu$ m.

in isolation and in co-culture with the NP. An opposite result was obtained for collagen I, such that unprimed BMSC microcapsules deposited more collagen I as compared to primed BMSC microcapsules in isolation and in co-culture. This was more evident for unprimed BMSC microcapsules co-cultured with NP under simulated conditions.

#### **Ex vivo model – both unprimed and primed BMSC microcapsules demonstrated filling of the cavity defect**

Macroscopic images of bovine discs after 28 d of culture demonstrated a clear difference between empty and healthy control discs with the empty untreated discs exhibiting little to no defect cavity filling in the central region of the IVD and the healthy disc showing a gelatinous mass of NP tissue (Fig. 6a,b). IVDs treated with unprimed and primed microcapsules showed a visibly apparent degree of cavity-filling and regeneration in the central NP region, with the primed group showing a protruding mass comparable to the healthy control (Fig. 6c,d).

#### **Both unprimed and primed BMSC microcapsules accumulate abundant amounts of sGAG and collagen in *ex vivo* organ culture**

Unprimed and primed groups exhibited similar levels of both sGAG and collagen, comparable to the healthy control; the empty control presented an

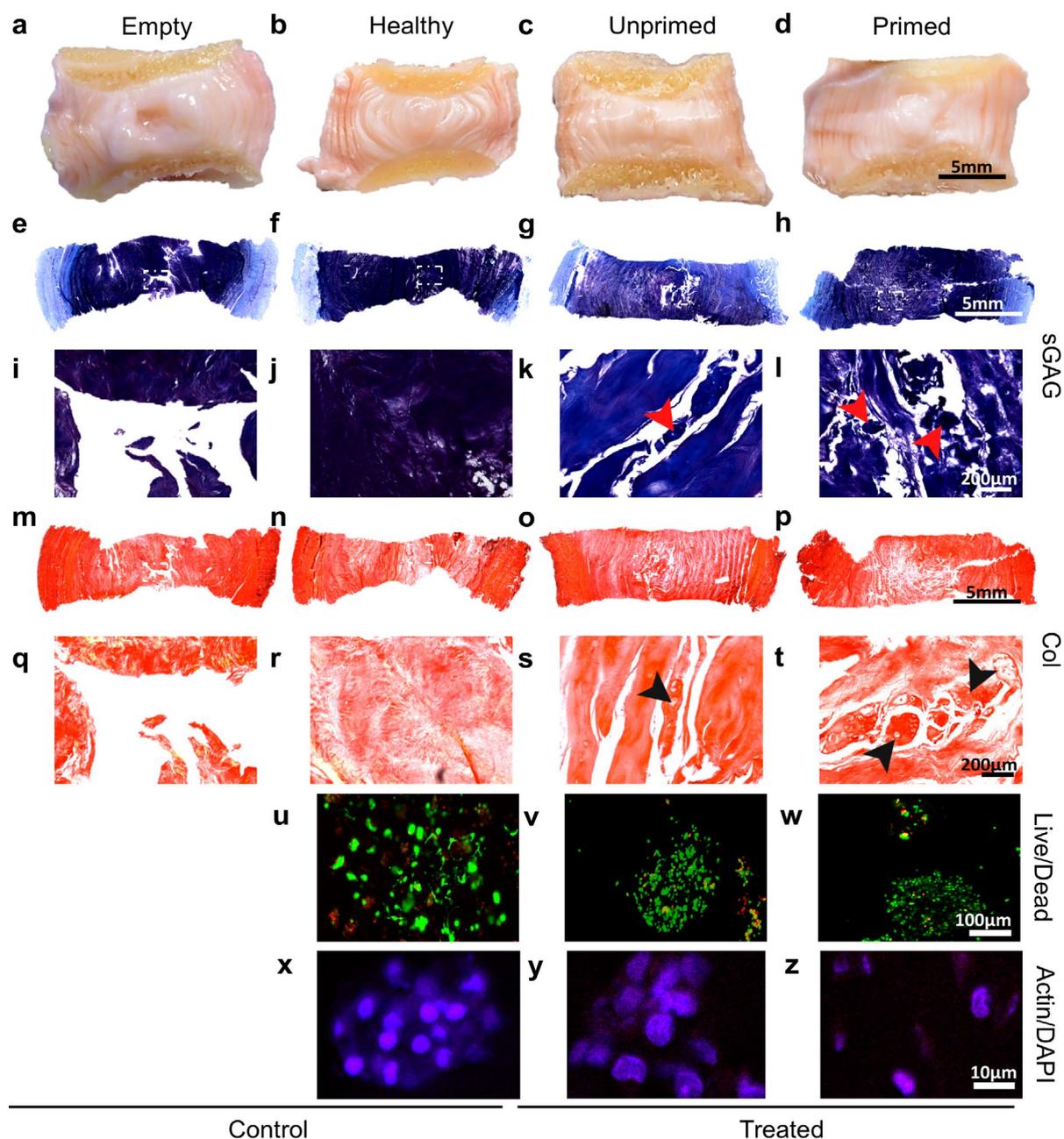
empty hole (the defect appeared to be small but was possibly an artefact due to the embedding procedure) (Fig. 6e-h,m-p). Biochemical data correlated with this observation, such that both unprimed and primed groups exhibited levels of both total sGAG and total collagen comparable to the healthy control. However, when normalised to DNA, collagen data revealed that the unprimed group showed increased content as compared to healthy control and primed groups. Magnified images of the central NP region showed the presence of microcapsules integrated into the surrounding tissue (Fig. 6k,l,s,t). Live/dead staining showed viable cells after 28 d of culture in healthy, primed and unprimed discs (Fig. 6u-w).

Biochemical analysis was performed on the NP only and due to the limited amount of the NP tissue in the empty nucleotomy control, it was excluded from the analyses. At day 28, significantly ( $p < 0.01$ ) higher levels of DNA were observed in the primed treatment group ( $14013.1 \pm 528.5$  ng) as compared to both healthy ( $5746.7 \pm 1273.3$  ng) and unprimed ( $4460.7 \pm 480.2$  ng) groups (Fig. 7a). However, there was a significant decrease in DNA content from day 0, with a 92.53 % decrease in the unprimed group ( $81840.9 \pm 8565.4$  ng at day 0) and a 57.08 % decrease in the primed group ( $51822.2 \pm 2182.1$  ng at day 0). With respect to matrix content, all groups demonstrated comparable amounts of sGAG at day 28 (Fig. 7b,c). This was also observed for collagen, with the

exception of the unprimed group ( $1154.4 \pm 111.5 \mu\text{g}/\mu\text{g}$ ), which resulted in significantly ( $p < 0.01$ ) more collagen normalised to DNA as compared to the healthy control ( $633 \pm 283.3 \mu\text{g}/\mu\text{g}$ ) and the primed ( $364.9 \pm 93.1 \mu\text{g}/\mu\text{g}$ ) groups (Fig. 7d,e). Of note, there was a significant ( $p < 0.01$ ) decrease in sGAG and GAG/DNA in the healthy control from day 0 ( $4367 \pm 1046.1 \mu\text{g}$  and  $1524 \pm 322.9 \mu\text{g}/\mu\text{g}$ , respectively) to day 28 ( $1314.7 \pm 452.6 \mu\text{g}$  and  $293.88 \pm 83.1 \mu\text{g}/\mu\text{g}$ , respectively) (Fig. 7b,c).

## Discussion

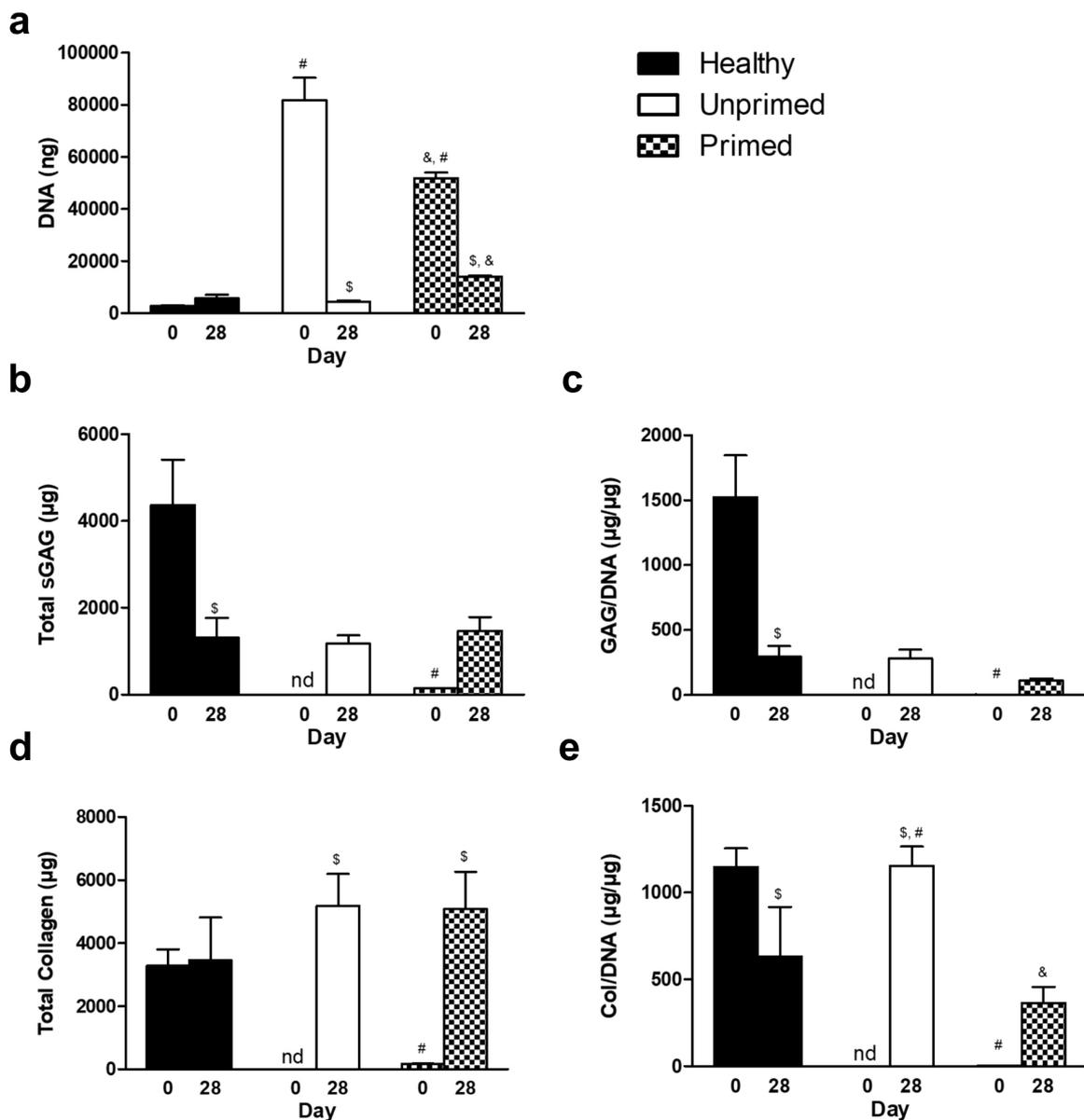
Cryopreservation of injectable microencapsulated BMSCs primed towards a discogenic phenotype prior to transplantation may offer a viable cellular therapeutic approach for IVD regeneration. It potentially eliminates the need for *in vivo* administration of exogenous growth factors, otherwise required to promote matrix synthesis, in addition to providing 'off-the-shelf' availability.



**Fig. 6. Macroscopic and histological evaluation of *ex vivo* cultures.** (a-d) Macroscopic images of empty, healthy, unprimed and primed microencapsulated BMSC-treated bovine discs after 28 d of whole organ culture. Scale bar: 5 mm. Histological evaluation: (e-l) sections were stained with aldehyde fuchsin and alcian blue to identify sGAG, deep purple staining indicates GAG accumulation; (m-t) sections were stained with picosirius red to identify collagen; (e-h,m-p) lower magnification scale bar: 5 mm; (i-l,q-t) higher magnification scale bar: 200  $\mu\text{m}$ ; arrow heads indicate microcapsules. (u-w) Cell viability at day 28; scale bar: 100  $\mu\text{m}$ . (x-z) Actin/DAPI staining at day 28; scale bar: 10  $\mu\text{m}$ .

*In vitro* co-culture is a method to reproduce *in vivo* interactions whereby two or more cell types are cultured together, to recapitulate or mimic the typical cellular interactions that occur *in vivo*. In these systems, cells can communicate with each other through two mechanisms: direct and indirect interactions (Im, 2014). During direct co-culture, separation of the individual cell populations after *in vitro* culture is challenging. In contrast, this study employed an indirect *in vitro* co-culture method that allowed physically distinct locations for both BMSCs and NP cells making it possible to ascertain the individual changes to each cell type. Also, the chosen culture condition (*i.e.* direct or indirect) can have a

significant impact on MSC response (Richardson *et al.*, 2006; Scuteri *et al.*, 2014). In particular, direct co-culture stimulated MSC differentiation while indirect co-culture stimulated the release of trophic factors. This may explain the reduced sGAG and collagen accumulation in BMSC microcapsules co-cultured with NP cells as compared to those cultured in isolation, since the BMSCs might be adopting a trophic role in this configuration. BMSCs act as supporting cells under indirect co-culture conditions (*i.e.* cells do not have cell-cell contact when encapsulated in a hydrogel), which promote NP cell proliferation and matrix synthesis (Naqvi and Buckley, 2015a). This is in line with the work



**Fig. 7. Biochemical contents of *ex vivo* cultures.** (a) Total DNA (ng) content at day 0 and day 28 for healthy, unprimed and primed microencapsulated BMSC-treated bovine discs. (b) Total sGAG ( $\mu\text{g}$ ) content. (c) sGAG normalised to DNA ( $\mu\text{g}/\mu\text{g}$ ). (d) Total collagen ( $\mu\text{g}$ ) content. (e) Collagen normalised to DNA ( $\mu\text{g}/\mu\text{g}$ ); total amount of ECM components was quantified directly from a 5 mm biopsy used for analysis. # significance as compared to healthy bovine disc for same time point, & significance as compared to unprimed microcapsule-treated bovine discs for same time point, \$ significance as compared to day 0;  $p < 0.05$ ;  $n = 3$ ; mean  $\pm$  SD represented.

by Richardson *et al.* (2006), which shows that MSCs differentiate towards NP-like cells under direct co-culture conditions whereas indirect co-culture conditions (by means of a semipermeable membrane) do not promote MSC differentiation.

NP cell co-culture resulted in significantly more sGAG and collagen as compared to isolation culture, irrespective of BMSC differentiation state. Immunohistochemistry data demonstrated synthesis and deposition of collagen type II. This was particularly important for collagen, since it confirmed that the tissue formed was not merely undesired type I collagen. In addition, this result was in line with a previous study by Nui *et al.* (2009), whereby co-cultures of NP cells and BMSCs exhibits higher cell growth rate as compared to NP cells cultured in isolation. Furthermore, the mRNA expression levels of collagen II and aggrecan were elevated in co-cultured cells. It is hypothesised that this upregulation is a response to BMSCs releasing trophic factors, *i.e.* MSCs have a trophic effect on NP cells under indirect co-culture conditions (Strassburg *et al.*, 2010). In another study, Gaetani *et al.* (2008) demonstrate improved quality of extracellular matrix when NP cells are co-cultured with adipose-derived stem cells in an alginate hydrogel system. Of note, these studies investigate MSCs in their undifferentiated state. Undifferentiated MSCs secrete various cytokines and growth factors that are important for tissue repair (Caplan and Dennis, 2006). MSC-secreted bioactive molecules have trophic effects on cells in close proximity, influencing the regeneration of cells or tissue. Recent studies show that one of the trophic factors released by MSCs is the fibroblast growth factor-1 (FGF-1), which leads to increased proliferation of co-cultured chondrocytes (Wu *et al.*, 2013). Other factors identified in the supernatant of MSC-chondrocyte co-cultures include TGF- $\beta$ 1, insulin-like growth factor 1 (IGF-1) and bone morphogenetic protein 2 (BMP-2) (Liu *et al.*, 2010), which are regulatory factors important for cell proliferation (Richter, 2009; Shu *et al.*, 2011). Interestingly, the positive effect of co-culture was not limited to those co-cultured with unprimed microcapsules. NP cells accumulated higher levels of sGAG and collagen in the presence of primed microcapsules as well. Based on the findings of the present study, primed microcapsules might play a dual role and exert a trophic effect on NP cells, in addition to possessing the ability to produce matrix. Importantly, in the presence of primed BMSC microcapsules, NP cells were not the only cell type to accumulate matrix components. Primed microcapsules also accumulated sGAG and collagen, suggesting bi-directional signalling of the two cell populations. As a result, primed microcapsules synthesised larger amounts of ECM components as compared to unprimed microcapsules, illustrating the benefit of priming in stromal cell-based regeneration of the IVD. In this scenario, BMSCs can synthesise the appropriate NP-like matrix and cells that may not

have fully differentiated can exert a trophic effect on NP cells to synthesise matrix.

Primed BMSC microcapsules resulted in reduced DNA, total sGAG and total collagen in simulated (pH 6.8) as compared to standard (pH 7.4) conditions irrespective of whether they were cultured in isolation or in co-culture with NP cells. This is in agreement with a previous study, where BMSCs demonstrate reduced proliferation and accumulate less sGAG and collagen in pH 6.8 conditions as compared with pH 7.4 when cultured under low oxygen conditions (Naqvi and Buckley, 2016). Combining low pH with low glucose results in reduced cell proliferation and sGAG/collagen expression, highlighting the importance of including other microenvironmental factors, such as matrix acidity, in culture systems (Wuertz *et al.*, 2009; Wuertz *et al.*, 2008). Bovine and human GAG synthesis rates are also particularly sensitive to extracellular pH levels, with the maximum rate occurring between pH 6.9 and pH 7.2 (Ohshima and Urban, 1992). A recent study by Gilbert *et al.* (2016) demonstrates the importance of acid-sensing ion channel (ASICs) and catabolic human NP cell response to low pH. Acidic pH can induce cell death as well as upregulation and secretion of pro-inflammatory cytokines and neurogenic factors (Gilbert *et al.*, 2016). Interestingly, NP cells co-cultured with unprimed microcapsules resulted in reduced sGAG and collagen under simulated as compared to standard conditions. NP cells regulate intracellular pH by expression of molecules such as carbonic anhydrases 9 and 12 (Minogue *et al.*, 2010). However, preliminary data by Richardson *et al.* (2013) suggest that the expression of these molecules decreases with degeneration and that cells may be unable to sustain the acidic matrix environment over a prolonged time. It is important to note that when sGAG and collagen were normalised to DNA, primed BMSC microcapsules under simulated conditions led to a significantly higher production in isolation culture but not in co-culture with NP.

A promising and perhaps more advanced approach to an *in vitro* co-culture model that provides a better *in vivo*-like microenvironment is the use of whole organs, which represent an *ex vivo* model of the organ of study by maintaining the original architecture and the cell types present (Gantenbein *et al.*, 2006). The nutrient transport of bovine IVDs is similar to that of human IVDs and they are comparable in size (Alini *et al.*, 2008). Nutritional and transport limitations in these large IVDs contribute to the uniquely challenging disc cell microenvironment and present an enormous challenge for clinical translation of cell-based therapies. The results from the present study demonstrated the use of cryopreserved microcapsules in an *ex vivo* whole organ culture model system such that both unprimed and primed microcapsules accumulated abundant amounts of sGAG and collagen. This is in contrast with the *in vitro* study results showing unprimed microcapsules to be ineffective at synthesising matrix components (sGAG

and collagen) but is in agreement with Le Maitre *et al.* (2009), who have demonstrated the ability of MSCs to differentiate spontaneously upon injection into NP tissue. As such, MSCs may not require continuous exogenous stimulation to induce differentiation and matrix deposition if there are sufficient factors or signals already present in the local microenvironment (Hiyama *et al.*, 2008; Sakai *et al.*, 2005; Zhang *et al.*, 2005). The presence of growth factors such as TGF- $\beta$  (which can promote MSC differentiation towards a discogenic phenotype) (Risbud *et al.*, 2004; Steck *et al.*, 2005) sequestered in the IVD matrix may be sufficient to direct MSC differentiation. However, the IVD tissue microenvironment is composed of native cells and ECM in addition to growth factors, all of which may play a role in the differentiation of the MSCs post injection. A possible limitation of the present study is the lack of a cell-only control. However, cells only without a carrier system come with several established challenges, some of which include cell leakage during injection, cell death due to shear forces and host immune response (Murua *et al.*, 2008; Richardson *et al.*, 2008). In contrast, cells combined with a carrier system have many advantages and some of the challenges associated with the use of cells only are avoided: less cell leakage, less cell death, immune privileged environment (Murua *et al.*, 2008; Richardson *et al.*, 2008). In particular, EHDA provides a one-step technique for fast cell encapsulation, with no *in situ* gelling necessary and allows cells to be manipulated within the carrier prior to injection (Bartolovic *et al.*, 2010; Jayasinghe, 2007; Jayasinghe, 2011; Jayasinghe *et al.*, 2006; Naqvi *et al.*, 2016; Workman *et al.*, 2014).

The *ex vivo* results of the present study emphasised the importance of employing a relevant model system when investigating therapeutic strategies for NP regeneration. Importantly, the study employed the use of bovine caudal discs, which are similar in size to the human lumbar disc (Alini *et al.*, 2008). The NP of bovine IVDs is white and fibrous in appearance, similar to human disc tissue and substantially different from the gelatinous NP of porcine and rodent discs (Urban and Roberts, 2003). Furthermore, should the *ex vivo* model demonstrate failure of the proposed therapy, it obviates the need for *in vivo* tests, thereby reducing the need for animal models. However, establishing clinically relevant models of disc degeneration is pivotal for translation. Different strategies have been developed to induce disc degeneration. The present study employed a surgical model of disc degeneration by performing a nucleotomy. Although there were inherent limitations with its use, the access route to the NP region induced mechanical damage to the IVD by creating an annular defect. In addition, this model allowed removal of controlled quantities of NP tissue from the disc and it was somewhat similar to the *in vitro* co-culture model system used.

Regardless of the chosen approach (*in vitro* or *ex vivo*), the balance between relevant matrix synthesis

and feasibility has to be evaluated carefully. In fact, the development of analyses applicable to advanced *in vitro* as well as *ex vivo* models should be considered to gain further understanding. Overall, the *in vitro* study showed unprimed microcapsules to be inadequate at synthesising matrix components (sGAG and collagen). This was in contrast with the *ex vivo* whole organ culture model system which demonstrated the use of cryopreserved microcapsules such that both unprimed and primed microcapsules accumulated abundant amounts of sGAG and collagen. This finding is important since it would potentially simplify treatment strategies and lower costs due to reduced manipulation of MSCs prior to transplantation. In conclusion, cryopreservation of BMSC microcapsules (primed or unprimed) may offer a feasible strategy for predesigned delivery through cryobanking for on-demand regeneration of the IVD.

### Acknowledgements

SMN was supported by the Graduate Research Education Programme in Engineering (GREP-Eng), PRTL Cycle 5 funded programme. PRTL is 50 % co-funded under the European Regional Development Fund. JG and CTB were supported by Science Foundation Ireland Career Development Award (15/CDA/3476).

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## Discussion with Reviewers

**Sebastian Wangler:** Why did you choose alginate as a carrier material?

**Authors:** MSC encapsulation in an alginate hydrogel facilitates a chondrocyte-like rounded morphology, in contrast to other culture systems, such as collagen, which promotes a spread morphology (Bacakova *et al.*, 2004; Dadsetan *et al.*, 2011; Kwon *et al.*, 2010; Liu *et al.*, 2011). In addition, improved NP-like matrix components' production in alginate as compared to chitosan is demonstrated (Naqvi and Buckley, 2015a). This could be due to a change in cell morphology from a round shape in alginate to a spread shape in chitosan, resulting in an altered cell phenotype. This may be due to the charge of the hydrogel in which the cells are encapsulated; alginate is an anionic polymer and does not permit cell adhesion or binding sites, whereas chitosan is a cationic polymer and facilitates adherence of MSCs and development of focal adhesions (Naqvi and Buckley, 2015a). Cell shape and spreading regulate proliferation and differentiation of MSCs (Bacakova *et al.*, 2004; Dadsetan *et al.*, 2011; Kwon *et al.*, 2010; Liu *et al.*, 2011; additional references). In general, a rounded morphology results in chondrocyte-like cells producing larger amounts of sGAG and collagen type II (Mathieu and Lobo, 2012). A spread morphology results in fibroblast-like cells producing less sGAG and higher levels of collagen type I. Interestingly, Dadsetan *et al.* (2011) have cultured chondrocytes on anionic hydrogels and demonstrate significantly enhanced production of chondrocyte-specific proteins. This is in line with previous results (Naqvi and Buckley, 2015a) whereby alginate, a negatively charged polymer, enhances the production of sGAG and collagen type II.

**Stephen Richardson:** While cell viability following *ex vivo* culture was not directly assessed, the DNA

content (Fig. 7a) suggested a significant decrease in cell number over time, even after priming. Do the authors think this was due to seeding density or could there be further decline if the time course was extended irrespective of total cell number? In an *in vivo* context, do the authors think the apparent cell death would undermine success of their proposed therapy?

**Authors:** The cell density coupled with the harsh microenvironment may be playing a significant role. Numerous studies have explored the effects of other IVD-like microenvironmental conditions, such as osmolarity, on MSC survival and differentiation. For example, Weurtz *et al.* (2008) have shown that culture conditions consisting of low glucose, elevated osmolarity (485mOsm) and matrix acidity (pH 6.8) are detrimental for MSCs, resulting in diminished proliferation and protein expression. In addition, it is important to understand and predict how MSCs respond to pro-inflammatory cytokines post implantation into a degenerate IVD microenvironment. For example, MSCs cultured in the presence of IL-1 $\beta$  results in diminished expression of key matrix components and lower functional properties (Felka *et al.*, 2009, additional reference).

Once cryopreserved primed BMSC microcapsules would restore the matrix within the NP, the organ would not be able to provide nutrients for proliferating cells due to the established harsh microenvironment of the IVD. Therefore, some cell death would not be detrimental. As long as there are sufficient cells to maintain the matrix, it may be possible to attain a good outcome. In the worst-case scenario, it may be possible or necessary to provide a second dose after some time to introduce more matrix-forming cells.

Also, based on the *in vitro* experiments performed, BMSCs induced NP cells to produce matrix. Therefore, the paracrine/trophic effect of encouraging NP cells to deposit matrix is still an important and beneficial consequence of this therapy. Using lower cell densities may not achieve this same beneficial effect.

**Stephen Richardson:** The study employed porcine NP cells and MSCs within a bovine explant model. Porcine NP retains a large proportion of notochordal cells (Alini *et al.*, 2008) and, while smaller porcine NP cells were separated for use, they might have a different phenotype and response to cells from species where notochordal cells are lost, such as bovine or human. Do the authors think the choice of species influenced the outcomes or whether similar outcomes would be observed with human cells?

**Authors:** Porcine tissue contains a population of notochordal cells (NC) that have a different phenotype as compared to adult NP cells (Alini *et al.*, 2008). As such, there are known limitations when utilising porcine tissue as a model for NP regeneration. In order to separate these different cell populations, the tissue digest was passed through

a 40  $\mu$ m cell strainer. This approach was adopted based on a protocol described previously (Spillekom *et al.*, 2014). Histological evaluation of the freshly isolated NP cells demonstrated that the filtrate mostly contained spindle-shaped cells (a key indicator of NP cells) while NC clusters were collected in the strainer. NP cells exhibit a rounded nucleus while NC cell nuclei appear irregular with most of the volume occupied by vacuoles (Spillekom *et al.*, 2014).

**Benjamin Gantenbein:** The authors isolated the BMSCs and donor-matched NP cells from porcine femurs and injected the porcine BMSCs primed or unprimed into a bovine IVD model. How can the authors exclude that the NPC (nucleus pulposus cells) group was lacking the presence of NC after filtering? Could the results not be affected by remnant secretome or cross-species factors from the NC? Would it not have been easier to inject the porcine microbeads into porcine organ culture model? Or formulated the other way around, would it not be conceptionally easier to isolate the BMSCs from bovine animals and to inject these into a bovine allogenic environment. In these cases, all the cross-species effects and the hassle to filter out the notochordal cells from the porcine discs would not have been a requirement?

**Authors:** The author believe the protocol adopted resulted in an acceptable separation of NP and NC cells. As these cells were washed repeatedly and culture-expanded, it is unlikely that there was any remnant NC secretome present. Regarding the cell type injected into the *ex vivo* model, porcine BMSCs were used to build on previous work performed by the authors allowing to compare results to previous studies. Importantly, for the *ex vivo* model, no porcine NP cells were introduced into the disc. Nonetheless, it is not possible to deny that there might be cross-species effects. Changing species would introduce confounding challenges that would require a full set of controls with bovine BMSCs to ensure the results obtained were not due to change in species. It is important to note that harvesting bovine tissue and isolating bone marrow stromal cells is technically challenging given the large size of the joints. Overall, the authors believe, given the similarity of bovine and human discs, that the outcome is still representative as a first path model system.

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**Editor's note:** The Scientific Editor responsible for this paper was Mauro Alini.